| | | DIUZ ROC'O PCI/PIO 2 1 JAN 2005 | | | | | | | | |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------|--|--|--|--|--|--|--|
| - | · Para | U.S. Patent and Trademark Office Randolph Building | ATTORNEY DOCKET NO. 403309/SOGA | | | | | | | |
| Win | dow | 401 Dulany Street Customer Service Mail Stop PCT Alexandria, VA 22314 | CLIENT REF. NO. FPM-11948 TOK/mk | | | | | | | |
| | C | TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 USC 371 AND 37 CFR 1.491 | U.S. APPLICATIO 16521787 | | | | | | | |
| PC. | T/JP(| ATIONAL APPLICATION NO. INTERNATIONAL FILING DATE 04/008839 June 17, 2004 | PRIORITY DATE CLAIMED June 18, 2003 | | | | | | | |
| | | INVENTION BLOWER | | | | | | | | |
| | | NT(S) FOR DO/EO/US ARINAGA et al. | C) the fellowing items and other information. | | | | | | | |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491. | | | | | | | | | | |
| 2. | | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491. | | | | | | | | |
| 3. | | | | | | | | | | |
| 4. | The US has been elected by the expiration of 19 months from the priority date (PCT Article 31). | | | | | | | | | |
| 5. | A copy of the International Application as filed (35 USC 371(c)(2)) a. is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | |
| 6. | | An English language translation of the International Application as filed (35 USC 371(c)(2)). | | | | | | | | |
| 7. | | Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. | | | | | | | | |
| 8. | | An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)). | | | | | | | | |
| 9. | \boxtimes | An oath or declaration of the inventor(s) (35 USC 371(c)(4)). | | | | | | | | |
| 10. | | An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)). | | | | | | | | |
| 11. | Nuc a. b. | cleotide and/or Amino Acid Sequence Submission Computer Readable Form (CRF) Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii. Paper Copy Statement verifying identity of above copies | | | | | | | | |
| _ | | 2 to 19 below concern other document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. Form PTO-1449 Copies of References (except for U.S. patents and applications) | | | | | | | | |
| 13. | \boxtimes | An assignment for recording. A separate cover sheet in compliance with 37 C | CFR 3.28 and 3.31 is included. | | | | | | | |
| 14. | \square | A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. | | | | | | | | |
| 15. | | A substitute specification. | | | | | | | | |
| 16. | | A change of power of attorney and/or address letter. | | | | | | | | |
| 17. | \boxtimes | Application Data Sheet Under 37 CFR 1.76 | | | | | | | | |
| 18. | \boxtimes | Return Receipt Postcard | | | | | | | | |
| 19. | | Other items or information: International Search Report (PCT/ISA/210) | | | | | | | | |

K-18 -

BT15 Rec'd PCT/PTO 2 1 JAN 2005

| 8 L L C C L L | IEY DOCKET NO. /SOGA | CLIENT REF. NO. FPM-11948 TOK/mk | | | | | | | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------|-------------------------------------|--------------|----------------|------------|-------------|--|--|--|--|
| 20. The following fees | | | CALCULATIONS | PTO USE ONLY | | | | | | |
| Basic National Fe | | | | | | | | | | |
| (\$300 filing fee + | | | | | | | | | | |
| | \$1000 | | | | | | | | | |
| Claim Fee | | | | | | | | | | |
| CLAIMS | NUMBER FILED | NUMBER E | XTRA | RATE | | | | | | |
| Total Claims | 16 -20= | x \$ 50.00 | | \$ | · | | | | | |
| Independent Claims | 3 - 3 = | | | x \$200.00 | \$ | | | | | |
| | Multiple Dependent Claim(s) (if applicable) +\$360.00 | | | | | | | | | |
| Application Size | \$ | | | | | | | | | |
| Surcharge of \$130 | _ | | | | | | | | | |
| later than 20 | \$ | | | | | | | | | |
| | \$1,000.00 | | | | | | | | | |
| Applicant claims s reduced by 1/2. | \$() | | | | | | | | | |
| reduced by 1/2. | | | | | | | | | | |
| | | | | SUBTOTAL= | \$1,000.00 | | | | | |
| Processing fee of \$ | Φ. | | | | | | | | | |
| months from the earlies | st claimed priority date. | | | | \$ | | | | | |
| | \$1,000.00 | | | | | | | | | |
| Fee for recording t | he enclosed assignment. The | assignment mu | st be acc | ompanied by an | | | | | | |
| appropriate cover shee | t. \$40.00 per property | | | + | \$40.00 | | | | | |
| | \$1,040.00 | | | | | | | | | |
| | Amount to be: refunded | \$ | | | | | | | | |
| | | | | | charged: | \$ 1,040.00 | | | | |
| | | | | | | | | | | |
| a. U No fee is belie | eved to be due. | ` | | | | | | | | |
| b. Please charge Deposit Account No. 12-1216 the total fee indicated above. | | | | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status. | | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO CUSTOMER NO. 23548: Verified A. Wyand Reg. No. 29,458 LEYTIG, VOUT & MAYER | | | | | | | | | | |
| | Suite 300 | | | | | | | | | |
| | | | | | | | | | | |
| | 21, 2005 | | | | | | | | | |

Page 2 of 2

US National Phase Transmittal (Revised 1/14/05)